

1 **The application of decision support tools and the influence of local data**
2 **in prioritizing barrier removal in lower Michigan, USA**

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11 **Author contributions**

12 K.R. and L.W. conceived of the main idea. H.Y.L. developed the scenarios for analysis. H.Y.L.
13 and A.M. performed the computations and analysis. H.Y.L. took the lead in writing the
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15 the preparation of this manuscript.

16 **Abstract**

17 Web-based decision support tools (DSTs) can be useful to facilitate decision-making
18 processes for managing complex natural resource systems. However, the alignment of DSTs
19 with the objectives in governmental policies or management plans and the influence of limited
20 local data on the outputs of these tools may reduce the use of DSTs by decision makers. In this
21 study, we examined the outcomes of web-based DSTs when different types of local data were
22 incorporated and demonstrated a way to incorporate outputs from multiple DSTs or local
23 inventories to benefit barrier removal decisions. Restoring habitat connectivity in rivers in
24 northwest lower Michigan, USA, was used as a case study due to the abundance of local
25 inventory data and web-based DSTs. We found that, when compared to prioritizations made
26 using local data, some DSTs could produce similar outcomes (in barriers selected, cost, and
27 the benefit for migratory fish) with limited data, but the trade-offs among users' objectives
28 might influence the cost and effectiveness of DSTs' outputs. Improving the ability of DSTs to
29 incorporate objectives consistent with policy and stakeholders' values (e.g., restore certain
30 species or sedimentation control) across management scales can help close the gap between
31 tool recommendations and management decisions while making the barrier removal
32 prioritization process transparent and efficient.

33

34 **Keywords:** decision support tools, restoring connectivity, barrier removal, prioritization, Great
35 Lakes, sea lamprey

36 **Introduction**

37 Tools are needed to facilitate decision making for managing complex natural systems
38 (Matthies et al., 2007; McIntosh et al., 2011). In river restoration and watershed management,
39 removal of barriers to restore river connectivity has become a major focus (Kemp and
40 O’Hanley, 2010; McKay et al., 2016) because connectivity loss and habitat fragmentation
41 have threatened biodiversity and ecosystem services (Dudgeon et al., 2006; Saunders et al.,
42 2015). Although removal of barriers, such as dams and road-stream crossings, can help to
43 restore native fish populations (Bednarek, 2001; Evans et al., 2015), the decision of which
44 barrier(s) to remove can be difficult for many reasons, including the cost and effort required
45 (Neeson et al., 2015; Zheng and Hobbs, 2013). In addition, removing barriers may have
46 negative effects on local ecosystems by increasing accessibility to habitats for invasive species
47 (Hermoso et al., 2015; McLaughlin et al., 2013). These projects usually require considering
48 multiple and sometimes competing values and objectives from managers and stakeholders
49 (McKay et al., 2016; Zheng and Hobbs, 2013). Tools that can incorporate both benefit and
50 costs of removal projects, reveal trade-offs among alternatives, and visualize the results can
51 facilitate the decision-making process in prioritizing barrier removals (McKay et al., 2016).

52
53 Decision support tools (DSTs) are interactive, computer-based platforms that can be used to
54 help facilitate environmental decision making (Gibson et al., 2017; McIntosh et al., 2011;
55 Power and Sharda, 2009). Many of these tools are web-based, allowing for users to overcome
56 the constraint of limited local resources (e.g., time, data, and communication) and increasing
57 the accessibility to managers and stakeholders ("web-based DSTs": Choi et al., 2005; Shim et
58 al., 2002). For example, web-based DSTs have been developed to provide biological,
59 environmental, and socio-economic data, aquatic connectivity estimates, and quantitative
60 models to support barrier removal prioritization across the US (e.g., for the Northeast,

61 Chesapeake Bay, Southeast, and Great Lakes regions, see McKay et al., 2016). Databases
62 included in these tools can help decision-makers gather necessary information, and
63 quantitative models can be used to predict possible outcome scenarios for a decision point
64 (McKay et al., 2016). Therefore, use of DSTs may improve the transparency of
65 decision-making because the tools can provide evidence-based explanations, along with visual
66 aids, to support decisions, and all users can examine the input data, adjust the weights, and
67 reproduce the decision procedure and results (McIntosh et al., 2011).

68
69 Despite advantages of using DSTs, many of these tools are underused by managers and
70 decision makers. Often developers and end-users of these tools differ in the required
71 timeframes and information, expectations, background knowledge, training, and skill sets
72 (Gibson et al., 2017; McIntosh et al., 2011). Some managers may not be aware of existing
73 tools, are wary of real or perceived tool limitations, or are uncomfortable with the tool's
74 assumptions (Addison et al., 2013; van Delden et al., 2011). For example, while many
75 web-based DSTs have been developed to assist managers in selecting the most beneficial sites
76 for connectivity restoration in the Great Lakes Basin (McKay et al., 2016; Moody et al., 2017),
77 most of them have been neither mentioned nor applied in local-scale watershed management
78 plans such as Nonpoint Source program approved watershed management plans in Michigan,
79 USA. Instead, managers often rely on inventory data collected by local watershed groups and
80 management agencies to prioritize barrier removal or mitigation projects (Shook, D. [Grand
81 Traverse Band of Ottawa and Chippewa Indians] and Beyer, A. [Conservation Resource
82 Alliance], personal communication, 2017), or select these projects opportunistically without
83 much, if any, prioritization.

84

85 How DSTs perform given limited data is one of the key factors that influences the use of these
86 tools by managers and decision makers (Gibson et al., 2017). Local inventory data are quite
87 sparse in many regions and can be time-consuming and expensive to collect, and the use of
88 these data may not necessarily change management actions or improve conservation outcomes.
89 For example, the return on investment of survey data decreases rapidly in the conservation of
90 sugarbushes (Proteaceae) in South Africa (Grantham et al., 2008). Similarly, collecting new
91 data about population growth was shown to provide little improvement to koala
92 (*Phascolarctos cinereus*) management in south-east Queensland, Australia (Maxwell et al.,
93 2015). Therefore, comparing the outputs of DSTs given different levels of availability of local
94 data and examining the influence of various types of data on decisions could be valuable. If
95 the value of this local information is low for the decision at hand, the costs related to data
96 collection could be better allocated to other management activities. Furthermore, reviewing
97 data and functions across DSTs and demonstrating possible ways to integrate multiple tools
98 for certain management interests can help managers and decision-makers quickly select
99 suitable tools for their needs and objectives (e.g., an example in Tetzlaff et al., 2013 and
100 Center for Ocean Solutions, 2011). The use of local data extracted from multiple data-driven
101 DSTs provides an opportunity to examine the influence of different levels of data availability
102 on the outputs of model-driven DSTs.

103

104 This study aims to enhance the connection between DST development and management
105 decisions by addressing two main issues that influence the use of DSTs by decision makers: (1)
106 the alignment of DSTs with the objectives and context of policies or management plans, and
107 (2) the performance of DSTs with limited or missing data (Gibson et al., 2017). To accomplish
108 these goals, we conducted a study in which we: (1) reviewed management plans and available
109 web-based DSTs for a case study; (2) examined ways to integrate data and functions across

110 DSTs and created a guide for DST selection according to management context; (3) compared
111 and examined the outputs of a model-driven DST, Fishwerks, given different local data
112 availability; and finally, (4) suggested possible improvements for existing DSTs. The case
113 study was conducted in northwestern lower Michigan (the Fruitbelt region), USA, because this
114 region is included in a number of existing web-based DSTs (see McKay et al., 2016 and
115 Moody et al., 2017) and has relatively comprehensive local inventory data on road-stream
116 crossings collected by local agencies and non-profits that is publicly available on the River
117 Restoration in Northern Michigan website
118 (<http://www.northernmichiganstreams.org/rsxinfo.asp>). The abundance of existing DSTs and
119 local data provide a unique opportunity to study the influence of data availability on DST
120 outputs and explore the complementarity among DSTs. We also evaluated the influence of
121 information for contradictory objectives (i.e., remove barriers for native species vs. keep
122 barriers for nuisance species). Furthermore, our results can be used to inform managers in
123 regions with limited local inventory data about the sensitivity of model-driven DSTs to local
124 information and the use of data-driven DSTs.

125

126 **Methods**

127 *Case study in northwest lower Michigan*

128 Northwest lower Michigan (Fruitbelt region), USA, is characterized by groundwater-fed
129 cold-water streams that provide critical habitat for native and sport fish populations, such as
130 white sucker (*Catostomus commersonii*), northern pike (*Esox lucius*), walleye (*Sander*
131 *vitreus*), lake sturgeon (*Acipenser fulvescens*), and salmon and trout (Salmonidae) (Lyons et
132 al., 2009; Peterson et al., 2007; Zorn et al., 2008). This region also supports diverse and
133 productive agriculture, such as blueberry, cherry, apple, and grape production, and forestry.

134 Local watershed management plans have been developed and implemented under the
135 Nonpoint Source (NPS) grant program, which is administered by Michigan Department of
136 Environmental Quality, to protect and restore watersheds in the Fruitbelt region and
137 throughout the state of Michigan (NPS Approved and Pending Watershed Plans, Michigan).
138 As with much of the Great Lakes region, connectivity loss and habitat fragmentation by
139 anthropogenic barriers, such as dams and road-stream crossings, have negatively affected fish
140 populations by blocking migration pathways, reducing the accessibility of critical habitats,
141 degrading habitat quality, and hindering the free movement of materials and energy in the
142 ecosystem (Dodd et al., 2003; Januchowski-Hartley et al., 2013; Porto et al., 1999). Since
143 2015, federal, state, tribal, municipal, and non-government partners have worked together as
144 the Tribal Stream and Michigan Fruitbelt Collaborative to reduce sedimentation and improve
145 aquatic organism passage in the region. Typically, projects that focus on culvert replacement
146 are prioritized after structures are assessed using the Great Lakes Road Stream Crossing
147 Inventory Instructions protocol (2011). Although there is continued interest in restoring
148 connectivity through barrier removal projects across the Great Lakes Basin, evaluating the
149 complex trade-offs between ecological and societal values in the decision-making process is
150 challenging. For any single barrier, the potential ecological consequences of removal could be
151 both positive (via native species and nutrient/energy flows; Dudgeon et al., 2006; Maavara et
152 al., 2015) and negative (via invaders and pathogens; McLaughlin et al., 2013 and Zheng and
153 Hobbs, 2013). Furthermore, decision makers must also consider implications for human safety
154 and recreation (Moody et al. 2017). In the Great Lakes Basin, more than 60 barriers have been
155 constructed or modified to suppress the spread of sea lamprey (*Petromyzon marinus*), and
156 hundreds built for other purposes function as blocking structures critical to controlling sea
157 lamprey (Lavis et al., 2003). Removing barriers may increase sea lamprey populations if
158 suitable spawning and rearing habitats exist upstream of barriers, and the Great Lakes Fishery
159 Commission anticipates that newly infested habitat would require an increased budget to

160 retain sea lamprey control in Great Lakes tributaries (Jensen and Jones, 2017; Mullett and
161 Sullivan, 2016).

162

163 *Policy and management plans review*

164 We reviewed one state act (Michigan Natural Resources and Environmental Protection Act
165 1994 PA 451) and 13 local watershed management plans (Betsie River, Lake Charlevoix,
166 Cheboygan River/Lower Black River, Glen Lake/Crystal River, Grand Traverse Bay, Greater
167 Bear, Lake Leelanau, Little Traverse Bay, Little Manistee, Long Lake, Mullett Lake, Platte
168 River, Upper Manistee River; Fig. 1) to identify objectives relevant to barrier removal for our
169 case study. Surface waters of the State of Michigan are protected by Water Quality Standards
170 for specific designated uses, such as supporting cold- or warm-water fisheries, indigenous
171 aquatic life, and wildlife (R323.1100 of Part 4, Part 31 of the Michigan Natural Resources and
172 Environmental Protection Act, 1994 PA 451). Because most socio-economically and
173 ecologically important fish species are affected by barriers in the Fruitbelt region
174 (Januchowski-Hartley et al., 2013; Moody et al., 2017), barrier removal projects can be used
175 to help achieve some designated uses in the Environmental Protection Act. All 13 watershed
176 management plans within the study area recognized road-stream crossings as critical sites for
177 sedimentation control, and most plans mentioned the effects of poorly-designed road-stream
178 crossings and dams on river connectivity. According to the Great Lakes Road Stream Crossing
179 Inventory Instructions protocol (USFS 2011), both erosion and fish passage issues can be used
180 to prioritize road-stream crossings for upgrade. Besides these management plans, several
181 barriers are operated by the US Fish and Wildlife Service (USFWS) and Fisheries and Oceans
182 Canada (DFO), as contract agents of the Great Lakes Fishery Commission, to control sea
183 lamprey populations. Based on our findings, we included objectives (and measures of these

184 objectives) related to cold- and warm-water fish, indigenous aquatic species and wildlife,
185 invasive sea lamprey, barrier passability, and erosion when considering barrier prioritization.

186

187 *Decision support tools selection*

188 Eight web-based DSTs were identified from the literature (McKay et al., 2016; Moody et al.,
189 2017) and environmental management websites (see Table 1), in which they provided: (1)
190 policy and management plan-relevant data and spatial information on barriers; and/or (2)
191 optimization models, for prioritizing barrier removal in the Fruitbelt region. The High Impact
192 Targeting tool focuses on sedimentation; FishVis, FishTail, and the Fish Habitat Decision
193 Support Tool focus on biological, environmental, and some socio-economic factors; and the
194 Sea Lamprey Control Map, Geospatial Fisheries Information network, Fishwerks and
195 OptiPass focus more directly on river connectivity (Table 1). Among all DSTs evaluated, only
196 Fishwerks and OptiPass had optimization modelling functions for prioritizing barrier removal
197 projects, and only Fishwerks could perform optimization modelling and display the results
198 online without input data from users (Table 1). Seven out of eight DSTs are region-specific
199 tools that cover a geographical range from the entire US, part of the US, to part of Canada.
200 OptiPass is the only tool that can be applied to any watershed, depending on input data (Table
201 1). We built a decision guide to facilitate DST selection by decision makers according to
202 policy context and the functionality of DSTs (Fig. 2). One model-driven tool, Fishwerks was
203 chosen for the following scenario analyses to examine the outcomes of DSTs with limited
204 data. Fishwerks was used because less user-provided input data are required, and it is built
205 specifically for the Great Lakes basin with the same basic optimization algorithm (mixed
206 integer linear programming) as in OptiPass. Other data-driven tools, including FishVis,
207 FishTail, and Sea Lamprey Control Map were then used as sources of different local data, as
208 described in the next section.

210 *Decision support tool evaluation*

211 We examined outcomes of barrier prioritization under different local data availability by
212 comparing results (effectiveness and cost) among a set of simulated scenarios (Table 2).
213 Scenarios were selected according to the objectives from reviewed policy and management
214 plans, such as prioritizing barrier removals to benefit cold- and warm-water fishes and other
215 indigenous aquatic species while considering invasive sea lamprey control. Specifically,
216 barriers were prioritized to maximize habitat connections for fish with different thermal
217 preferences (i.e., cool-, cold-, and warm-water fish; extracted from FishVis), or to maximize
218 the connections between riverine habitats with high water quality or low land-based
219 disturbances (extracted from FishTail). We also considered the cost of applying lampricide to
220 kill sea lamprey larvae in newly-opened streams or keeping all barriers that are important for
221 sea lamprey control intact. The estimated annual lampricide application cost for every stream
222 reach was extracted from Fishwerks (Table 2), and was estimated using variables including
223 lake basins, reach length, and watershed drainage area to incorporate both costs for chemical
224 lampricide and staff time (Steeves, M. [Fisheries and Oceans Canada] personal
225 communication, 2015). We chose to focus on sea lamprey invasions because many
226 stakeholders in the region consider this as a significant negative effect of terminal barrier
227 removal (McLaughlin et al., 2013). Furthermore, we included predictions of future species
228 distributions to prioritize barriers for removal under possible future climate conditions because
229 species distribution shifts by climate change may reduce the effectiveness of current
230 management actions in the Great Lakes region (Collingsworth et al., 2017; Lynch et al., 2015).
231 Predicted distributions of cool-, cold-, and warm-water fish in the mid and late 21st century
232 throughout the Fruitbelt region were downloaded from FishVis (Table 2).

233

234 First, we ran the optimization model within Fishwerks (scenario code: N, no local information;
235 “base scenario” hereafter), which maximizes total accessibility-weighted upstream habitat for
236 migratory fish under a given budget, to produce a portfolio of removals (Moody et al., 2017;
237 Neeson et al., 2015). The accessibility-weighted upstream habitat was calculated as river
238 length (potential habitat) times the product of all downstream barriers’ passability (Neeson et
239 al., 2015). The passability for barriers, which is included as part of the Fishwerks package,
240 was defined as the proportion of fish able to pass through a barrier from downstream (Moody
241 et al., 2017; Neeson et al., 2015). Three levels of passability for each barrier can be found in
242 Fishwerks to represent the effect of barriers on fish with weak, moderate, or strong swimming
243 ability (Moody et al., 2017). For simplicity, the passability for moderate swimmers was used
244 in this study.

245
246 Then, we incorporated additional local information (described in detail below), extracted from
247 other DSTs, to weight upstream habitat and produce other portfolios of barriers prioritized for
248 removal. Additional local information included projected species distribution in the late-20th,
249 mid-21st, and late-21st century for three thermal guilds (1961–2000: cold-water species, Cd1;
250 cool-water species, Cl1; warm-water species, W1; 2046–2065: cold-water species, Cd2;
251 cool-water species, Cl2; warm-water species, W2; and 2081–2100: cold-water species, Cd3;
252 cool-water species, Cl3; warm-water species, W3). Future climate conditions were estimated
253 from 13 general circulation models under the A1B emissions scenario (FishVis: Stewart et al.,
254 2016a). Other local habitat condition data included a water quality index (Q) that represented
255 water quality impairments weighted by the response of the fish community (FishTail: Daniel
256 et al., 2017); indices for local land-use (Lul) and cumulative land-use (Luc), including urban
257 and agricultural land-use, and percent impervious surface cover (FishTail: Daniel et al., 2017);
258 and terminal barriers that block sea lamprey migration (Lam), which were extracted from Sea
259 Lamprey Control Map (<http://data.glf.org/>). Projected species distribution data were

260 downloaded from the US Geological Survey database (FishTail: Daniel et al., 2017; FishVis:
261 Stewart et al., 2016b). Then, these variables were normalized to a zero to one scale and used to
262 weight the original accessibility-weighted habitat in the Fishwerks optimization model. In the
263 normalized scale, zero represented the absence of certain species or the worst habitat condition
264 projected while one represented the presence of certain species or the best habitat condition
265 projected. In these scenarios, the optimization model maximized total upstream habitat,
266 weighted by both local information and accessibility. Finally, for the sea lamprey blocking
267 scenario (Lam), we prioritized barriers similar to the base scenario (N) but excluded all
268 terminal barriers that blocked sea lamprey migration from Lake Michigan. In total, 14
269 scenarios were analyzed, as shown in Table 2. Budgets of \$2.0, 2.5, and 3.0 million U.S.
270 Dollars (USD) were used to run optimization models because this is the range of funds
271 available to the Michigan Tribal Stream and Fruitbelt Collaborative for barrier removal
272 projects in the study region.

273

274 We ran optimizations in a research version of Fishwerks (available online:
275 <https://neos-server.org/neos/solvers/application:Fishwerks/csv.html>) that allowed us to
276 incorporate custom barrier inventory data with additional local information. This is different
277 from the current online version of Fishwerks, which can only optimize barrier removals with
278 built-in river length data.

279

280 The influence of local information was assessed by comparing the effectiveness, cost, and
281 simulated suite of barriers selected among barrier removal scenarios that were prioritized with
282 or without local information. Effectiveness (“habitat gain”, hereafter) represents predicted
283 habitat gain in kilometers, weighted by different biological and habitat condition indices
284 (Table 3), including: (1) the percentage gain of river length (effectiveness code: Len); (2)
285 cold-water habitat (Cdh); (3) cool-water habitat (Clh); (4) warm-water habitat (Wh); (5)

286 quality habitat (Qh); (6) quality local land-use habitat (Llh); and (7) quality cumulative
287 land-use habitat gain (Lch). We calculated total habitat gain and compared the differences in
288 seven types of effectiveness (as described above) among 14 scenarios. For instance, given a
289 budget, which scenario might produce more habitat gain for cold-water species (Cdh)? A
290 second variable, cost, represents the estimated cost for removing barriers and applying
291 lampricide after removal (Table 3). Although the total cost for removing barriers across
292 scenarios will be similar under given budget limits, we identified different barriers selected by
293 base scenario (N) and each one of the other scenarios and calculated the cost of these barriers.
294 For example, if two barriers selected by the scenario with additional water quality (Q)
295 information were not selected by the scenario without local information (N), the difference in
296 cost between these two scenarios will be the sum of these two barriers. Finally, we compared
297 selected barriers among scenarios by examining: (1) the spatial distribution of selected
298 barriers; (2) selection frequency of each barrier; and (3) the percentage of barriers that were
299 repeatedly selected by both the scenario without local information (N) and each one of the
300 other scenarios.

301

302 **Results**

303 *Differences in the cost, locations, and number of barriers*

304 The selected portfolios of barriers were similar regardless of the input of additional local
305 information for 13 out of 14 scenarios, with the sea lamprey blocking scenario (Lam) as the
306 one exception (Figs. 3 & 4). Selected barriers were scattered throughout the study area for
307 most scenarios, with 20 barriers selected in seven or more scenarios as important barriers to be
308 removed (Fig. 3a). The differences in selected barriers between the base scenario (N) and
309 scenarios using additional information, such as cold-water species distribution (including
310 distribution shift by climate change: Cd1–3), water quality (Q) or land-use indices (Lul & Luc)

311 were relatively small (> 75% overlap of selected barriers with < \$0.75 million USD cost
312 differences). Adding local information for cool-water and warm-water species (C11–3 & W1–
313 3) resulted in moderate differences in barriers chosen, compared to the base scenario (25–75%
314 overlap with around \$1–2 million USD cost differences; Fig. 4). If all important barriers for
315 blocking sea lamprey migration were left intact (Lam), selected barriers were concentrated in
316 a few watersheds, especially in small tributaries around Lake Charlevoix and the lower
317 Manistee River (Fig. 3b). Less than 6% of barriers were selected in both the base scenario and
318 the sea lamprey blocking scenario, and the differences in cost were around \$4–6 million USD
319 (Fig. 4). Increasing the budget could increase the number of barriers selected (scenarios except
320 Lam: 9–13 barriers given \$2 million USD, 14–18 barriers given \$2.5 million USD, and 18–26
321 barriers given \$3 million USD), however, three to four times more barriers were selected
322 under the sea lamprey blocking scenario (Lam) than other scenarios across budgets (49
323 barriers given \$2 million USD, 59 barriers given \$2.5 million USD, and 67 given \$3 million
324 USD).

325

326 *Differences in habitat gain (effectiveness) and cost of lampricide*

327 1. Among scenarios (excluding climate change)

328 Although the gain in target habitat was optimized in every scenario, the gain in other habitats
329 varied among scenarios (Fig. 5). For example, while the cold-water fish scenario (Cd)
330 produced the highest effectiveness for cold-water habitat (Cdh) and the warm-water fish
331 scenario (W) produced the largest connected warm-water habitat (Wh) among all scenarios,
332 the effectiveness for other habitats (e.g., the gain of quality local land-use habitat, Llh) were
333 also different between these two scenarios. In general, the gain in all habitat types was similar,
334 with 110–160% habitat gain among most scenarios like the base, cold-water fish, water quality,
335 local land-use, and cumulative land-use scenarios, but the habitat gains were around 10 – 25%

336 lower in cold-water and warm-water fish scenarios. The smallest habitat gain for all types of
337 habitats was found in the sea lamprey blocking scenario (Lam, < 20% habitat gain; Fig. 5).
338 Estimated costs for lampricide were similar among most scenarios, except for the cool-water
339 and warm-water fish scenarios. Lampricide cost was not considered for the sea lamprey
340 blocking scenario because all downstream barriers that block sea lamprey migration remained
341 in place.

342

343 2. The effectiveness under climate change

344 Overall, the amount of target habitat for all thermal guilds (cold-water, cool-water, and
345 warm-water species) increased (or remained the same) in the mid-21st century but was
346 followed by a 20–30% decrease in the late-21st century. Incorporating climate change and
347 species distribution data produced the greatest gain in target habitat, because the model
348 maximized accessible habitats while accounting for predicted climate conditions, but the
349 contribution of these sources of information varied among years, habitats, and budgets. For
350 example, the incorporation of climate change and species distribution information yielded a
351 set of barrier removals that would result in 20% more cool-water habitats under the \$2 million
352 USD budget in the late-21st century, relative to the base scenario (N); there was no or little
353 benefit from including these sources of information when prioritizing for cool-water habitats
354 under the same budget in the middle of 21st century or under a \$2.5 million USD budget in the
355 end of 21st century. Interestingly, although the improvement produced from the addition of
356 climate change data (i.e., the differences between solid and dashed lines in Fig. 6) increased
357 through time, the differences between using both climate change and species distribution data
358 (solid lines) and using no local data at all (dotted lines) declined by the end of the 21st century
359 (Fig. 6).

360

361 **Discussion**

362 In this study, we showed existing DSTs could be used to address two main issues that hinder
363 the use of these tools by managers: (1) the alignment of DSTs with the objectives and context
364 of a policy, and (2) the ability of DSTs to perform despite limited data (Gibson et al., 2017).
365 We also demonstrated how eight web-based DSTs with different data and functionality can be
366 used to inform decision making for prioritizing barrier removal projects (Figs. 2). While no
367 single DST covered all objectives in policy and management plans, information or data could
368 be extracted from existing data-driven DSTs (e.g., FishVis, FishTail, Fish Habitat Decision
369 Support Tool) or local inventories. Then, decision makers and managers can prioritize barrier
370 removal projects through model-driven DSTs (e.g., OptiPass, Fishwerks) or manually (e.g.,
371 scoring and ranking, not shown in this study but see Martin and Apse, 2013). We further built
372 a general guide (Fig. 7) to indicate ways to use existing DSTs in the protocol for barrier
373 prioritization proposed by McKay et al. (2016).

374

375 The improvement from the input of additional local information could be minor for barrier
376 prioritization, however, caution is needed when applying regional DSTs to a local
377 management area where the distributions of biodiversity and human disturbances are
378 heterogeneous in fine scale. In general, information about homogeneously- and
379 widely-distributed species (e.g., cold-water fish in our study area), habitat types, or
380 disturbances (e.g., water quality index) may contribute less to the outcome, but trade-offs in
381 effectiveness may occur if an objective is to optimize rare species (e.g., warm-water species in
382 study area) or control nuisance species (McLaughlin et al., 2013). Although some studies have
383 found that the resolution of regional DSTs might be too coarse for local management planning
384 (Runting et al., 2013), river length, the variable optimized in Fishwerks, appears less sensitive
385 to the input of additional local information. On the contrary, telemetry tracking data

386 substantially improved the results from DSTs for sea turtle conservation plans (Mazor et al.,
387 2016), and the cost-effectiveness of coastal wetland protection plans can be increased with
388 high-resolution elevation data (Runting et al., 2013). Our results indicated that maintaining sea
389 lamprey barriers produced low effectiveness in connectivity restoration, around 7 times less
390 than other scenarios, and required the removal of a large number of barriers, around 4 times
391 more than other scenarios. Furthermore, an additional \$1 to 3 million USD cost might be
392 required to apply lampricide on newly-connected streams to control the sea lamprey
393 population if sea lamprey barriers were removed. Although the estimated cost in this study
394 may only represent the worst case because it assumed that every newly-opened stream
395 segment contains suitable spawning and rearing habitats for sea lamprey, the strong trade-off
396 between restoring native fish populations and controlling sea lamprey may come from the
397 overlapping distribution between native fish and sea lamprey (Milt et al., 2018). This last point
398 highlights the need for DSTs that can integrate multiple objectives (e.g., migratory fish
399 passage and invasive species control) that can be used as an aid when evaluating the trade-offs
400 for decision-making in barrier prioritization (Hermoso et al., 2015).

401

402 While the sea lamprey scenario in this study focused on maintaining the cost of lampricide
403 application similar to status quo, which means keeping all terminal barriers intact, other
404 scenarios could be used to examine the trade-off between restoring native fish and controlling
405 alien species. For example, managers may want to know the effectiveness of barrier removal
406 when an additional budget has been assigned to cover the lampricide cost, in addition to the
407 barrier removal budget. Another scenario is to prioritize barriers when an overall budget is
408 required to be spent on both barrier removals and lampricide applications. By incorporating
409 potential lampricide expenses into prioritization, these scenarios have the ability to open up
410 more upstream habitats for native fish, as compared to the sea lamprey scenario in this study.

411 These scenarios can also be analyzed within the current version of Fishwerks. However, under
412 current management scheme, budgets for removing barriers are usually managed and provided
413 by agencies (i.e., federal, state, tribal, municipal, and non-government organizations) that
414 differ from the agency in charge of applying lampricide (i.e., Great Lakes Fishery
415 Commission). Studies that evaluate the effectiveness and trade-offs of these scenarios could be
416 beneficial to future management because coordinating efforts and cost-sharing strategies may
417 improve the return-on-investment of barrier removal prioritization (Neeson et al., 2018, 2015).

418
419 As expected, incorporating predicted species distribution data under climate change can
420 increase effectiveness of barrier removal up to 20%, but this improvement varied with time,
421 fish thermal guilds, and budget, and lacked a general pattern. Interestingly, percentage habitat
422 gain from barrier removals changed through time, with a pattern different from predicted
423 species distribution change. For example, effectiveness of barrier removal for warm-water
424 species increased a small amount then reduced about 30% in the late 21st century under \$2
425 million USD budget while previous studies and the DST we used, FishVis, suggest a gradual
426 expansion of warm-water habitats across the Great Lakes Basin with a changing climate
427 (Collingsworth et al., 2017; Melles et al., 2015; Stewart et al., 2016a). In general, water
428 temperature becomes warmer in reaches close to river mouths (Zorn et al., 2008). Therefore,
429 the predicted increase of warm-water habitats might mainly occur in downstream reaches,
430 which are less affected by barriers, compared to upstream cold-water habitats. Nevertheless,
431 the spatial distribution of cold groundwater inflow also plays an important role in determining
432 stream temperature (Zorn et al., 2008), thus influencing the effectiveness of barrier removal
433 for fishes with different temperature preferences. Besides possible changes in the community
434 composition of native fish, climate change may also influence the distribution of nuisance
435 species (Melles et al., 2015). Therefore, although uncertainties in climate models and fish

436 thermal guilds' responses make predicting the influence of climate change on barrier
437 prioritization difficult, incorporating climate change into DSTs can improve the flexibility of
438 management plans and mechanisms for risk assessment (Lynch et al., 2015; Melles et al.,
439 2015).

440

441 Other methods and DSTs, besides the Fishwerks optimization model, can be used to prioritize
442 removal projects, such as scoring and ranking (e.g., Chesapeake Fish Passage Prioritization,
443 web-based DST, Martin and Apse, 2013) and graph theoretic frameworks (e.g., Conefor,
444 standalone software, Saura and Torné, 2009). However, scoring and ranking methods are
445 incapable of fully accounting for the cumulative effects within barrier networks, and graph
446 theoretic frameworks do not produce a recommended removal list (King and O'Hanley, 2016).

447 While managers and stakeholders within the Great Lakes region can use Fishwerks without
448 the input of additional data, users in other places might need to rely on more commonly-used
449 scoring and ranking methods or optimization models requiring user input data. For example,
450 regional DSTs with local environmental, ecological, and connectivity data using scoring and
451 ranking methods have been developed for watersheds in the Northeast US, Chesapeake Bay,
452 and Southeast US (reviewed in McKay et al., 2016). One DST reviewed in this study,
453 OptiPass, is a standalone software with an optimization model that can be applied to any
454 watershed given user input data, such as the location, cost, and passability of candidate
455 barriers and flowlines (O'Hanley, 2015).

456

457 Factors that may improve the use of existing barrier prioritization methods and DSTs by
458 managers and stakeholders, such as prioritizing barrier removal for resident species, ensuring
459 the data are up-to-date, and incorporating socio-economic and political variables, have been
460 discussed in previous studies (King and O'Hanley, 2016; McKay et al., 2016; Moody et al.,

2017). Currently available software may be unattractive to managers because of the inability to easily incorporate local, additional, or high-resolution data (e.g. Fishwerks) or because of the requirement to input all analysis data (e.g. OptiPass). In addition, sedimentation control was a key management objective in many local watershed plans that we reviewed, but we found that neither regional barrier prioritization projects nor DSTs explicitly considered this key variable. In the study region, watershed managers often prioritize road-stream crossings for upgrade according to the risk of sedimentation rather than their impact on river connectivity (Shook, D. [Grand Traverse Band of Ottawa and Chippewa Indians] and Beyer, A. [Conservation Resource Alliance], personal communication, 2017). The use of sedimentation information could be because most road-stream crossings, which are usually treated as a source of sedimentation, are managed by local authorities, whereas the effect of dams, generally assessed as barriers for connectivity, is addressed at a regional or national scale (Neeson et al., 2015). Increasing the functionality and flexibility of web-based barrier prioritization DSTs to incorporate data other than the built-in database might lead to greater use by managers. For example, local managers in the Fruitbelt region would like to incorporate socio-economic factors such as the willingness of stakeholders to remove certain barriers or erosion risk into the cost function in prioritization modelling if data are available (Shook, D. and Beyer, A., personal communication, 2017). Improving the communication between tool developers and users during the development of DSTs could help developers understand the needs of users and thus allow for incorporating policy and management plan-relevant information into DSTs or increasing the flexibility of models.

482

While the improvement of the database and modelling ability can enhance the usefulness of DSTs, it is important to note that the main purpose of DSTs is to support and facilitate, not to replace, the decision-making process (Power and Sharda, 2009). River restoration plans

486 usually involve multiple stakeholders with competing interests, and some variables and
487 objectives may be difficult to quantify and incorporate into DSTs (Langford and Shaw, 2014;
488 McKay et al., 2016). The incorporation of local interests and opinions is especially important
489 for small dam removal because many decisions are strongly influenced by the willingness of
490 local stakeholders and communities instead of ecological or economic impact (Fox et al.,
491 2016). The use of decision analysis, such as structured decision making and adaptive
492 management, which is increasingly being applied to wildlife management and conservation
493 issues (e.g., Gregory and Long, 2009; Robinson et al., 2016), provides a promising way to
494 incorporate diverse interests and objectives into the decision-making process to reduce
495 possible conflicts. A key challenge for DSTs is to generate predicted effectiveness after
496 removal for comparing the trade-offs among scenarios, which is an important step in decision
497 analysis (Gregory et al., 2012). Predicted effectiveness can be the amount of habitat gain for
498 target fish species as in this study, or even potential changes in the target fish population if
499 demographic data and population models are available (e.g., Jensen and Jones, 2017; Zheng
500 and Hobbs, 2013). In addition, incorporating the tools of strategic foresight (e.g., scenario
501 planning; Cook et al., 2014) to a decision analytic framework can help users to explicitly
502 evaluate the uncertainties related to future conditions, such as climate change (Schwartz et al.,
503 2017).

504

505 Although we tried to enhance the connection between existing web-based DSTs and
506 on-the-ground management by addressing the issues in Gibson et al. (2017), other factors may
507 influence the uptake of DSTs by managers and stakeholders. Combining information from
508 multiple DSTs might make managers less likely to use these tools, especially if they need to
509 spend time reformatting and integrating data from different sources (Gibson et al., 2017).
510 While all DSTs we reviewed can be applied on regional or local scales, we found that many

511 local decision makers and stakeholders were not aware they existed. Furthermore, some policy
512 makers prefer subjective judgements from experts rather than quantified outcomes from
513 mathematical models (Addison et al., 2013). Continuous communication between DST
514 developers and potential users is necessary if these tools will be relevant (Gibson et al., 2017;
515 McIntosh et al., 2011). In addition, user training and support are also important factors
516 influencing the willingness of managers and stakeholders to use the tool (Díez and McIntosh,
517 2009). Ultimately, it is critical to build trust between both developers and end-users to
518 enhance the usefulness of these tools (Díez and McIntosh, 2009).

519

520 **Conclusions**

521 We demonstrated a way to guide the use of existing web-based DSTs for managers and
522 stakeholders according to objectives derived from policy/management plans. Our results
523 suggest that although some DSTs could produce outcomes that were insensitive to some local
524 data, the trade-offs among user defined objectives (e.g., cold-water species vs. warm-water
525 species or invasive species) might influence the effectiveness of DSTs or change the set of
526 barriers selected for removal. Overall, regional DSTs have the ability to aid local decisions
527 about barrier prioritization by providing important biological, environmental, and
528 socio-economic data and/or, modelling functions, especially if used as a tool within a larger
529 decision-making framework, such as decision analysis. Therefore, the development and
530 maintenance of regional DSTs could facilitate both local and regional decision-making
531 processes. Possible improvements for existing barrier removal prioritization DSTs include
532 increasing model flexibility, dealing with sedimentation issues, incorporating other
533 socio-economic factors, and improving the communication and training between tool
534 developer and users. As the development of DSTs is growing, we hope to mitigate the gap
535 between these useful tools and management actions.

536

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545

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734

735 Table 1. Decision support tools that we evaluated to facilitate barrier prioritization in
 736 Michigan’s Fruitbelt region.

Tool name	Tool type	Tool description, link, and spatial extent
FishVis	Web-based map (data-driven)	Display the distributions of 13 fish species (4 warm-water, 5 cool-water, and 4 cold-water species) under current and future climate conditions (Stewart et al., 2016a) (https://ccviewer.wim.usgs.gov/FishVis/#); US Great Lakes Basin, part of the Upper Mississippi River Basin (Minnesota and Wisconsin), and part of the Mid-Atlantic Basin (New York)
FishTail	Web-based map (data-driven)	Display the current and future condition of stream habitat under human disturbances and climate change (Daniel et al., 2017) (https://ccviewer.wim.usgs.gov/fishtail/#); US Northeast and Midwest region
High Impact Targeting	Interactive map (data-driven)	Display erosion risk and sediment loading, and evaluate the cost-benefits of best management practices (http://www.iwr.msu.edu/hit2/); US Great Lakes Basin
Sea Lamprey Control Map	Interactive map (data-driven)	Display existing barriers, sea lamprey infestation extent and lampricide treatment history, and effects of building or removing barriers on the accessibility of upstream habitat for sea lamprey (http://data.glf.org/); Canada and US Great Lakes Basin
Geospatial Fisheries Information Network	Interactive map (data-driven)	Display existing barriers and show their effects on accessibility of upstream habitat for migratory species (https://ecos.fws.gov/geofin/); US watersheds
Fish Habitat Decision Support Tool	Interactive map with analytical functions (data-driven)	Display and analyze a variety of biological, environmental, and socio-economic spatial data (http://www.fishhabitattool.org/home.html); US Northeast and Midwest region
Fishwerks	Interactive map with optimization functions (model-driven)	Display existing barriers and optimize barrier removal projects under a given budget (Moody et al., 2017) (https://greatlakesconnectivity.org/); Canada and US Great Lakes Basin
OptiPass	Standalone software (model-driven)	Optimize barrier removal projects, but without map visualization capabilities. Requires user to download and run the model with user provided input data (O’Hanley, 2015) (https://greatlakesinform.org/decision-tools/573); depends on

input data

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738

739 Table 2. Fourteen scenarios analyzed for comparing modelling outcomes (effectiveness and
 740 cost) given different local data input.

Scenario (code)	Target for optimization model to maximize	Source DST
No local information/base scenario (N)	(habitat size*) × (accessibility**)	Fishwerks
Cold-water fish distribution in the late-20 th century (Cd1)	(habitat size) × (accessibility) × (predicted occurrence of cold-water fish in 1961–2000)	Fishwerks, FishVis
Cool-water fish distribution in the late-20 th century (Cl1)	(habitat size) × (accessibility) × (predicted occurrence of cool-water fish in 1961–2000)	Fishwerks, FishVis
Warm-water fish distribution in the late-20 th century (W1)	(habitat size) × (accessibility) × (predicted occurrence of cool-water fish in 1961–2000)	Fishwerks, FishVis
Cold-water fish distribution in the mid-21 st century (Cd2)	(habitat size) × (accessibility) × (predicted occurrence of cold-water fish in 2046–2065)	Fishwerks, FishVis
Cool-water fish distribution in the mid-21 st century (Cl2)	(habitat size) × (accessibility) × (predicted occurrence of cool-water fish in 2046–2065)	Fishwerks, FishVis
Warm-water fish distribution in the mid-21 st century (W2)	(habitat size) × (accessibility) × (predicted occurrence of warm-water fish in 2046–2065)	Fishwerks, FishVis
Cold-water fish distribution in the late-21 st century (Cd3)	(habitat size) × (accessibility) × (predicted occurrence of cold-water fish in 2081–2100)	Fishwerks, FishVis
Cool-water fish distribution in the late-21 st century (Cl3)	(habitat size) × (accessibility) × (predicted occurrence of cool-water fish in 2081–2100)	Fishwerks, FishVis
Warm-water fish distribution in the late-21 st century (W3)	(habitat size) × (accessibility) × (predicted occurrence of warm-water fish in 2081–2100)	Fishwerks, FishVis
Water quality (Q)	(habitat size) × (accessibility) × (water quality index)	Fishwerks, FishTail
Local land-use (Lul)	(habitat size) × (accessibility) × (local land-use index)	Fishwerks, FishTail
Cumulative land-use*** (Luc)	(habitat size) × (accessibility) × (cumulative land-use index***)	Fishwerks, FishTail
Lamprey blocking (Lam)	(habitat size) × (accessibility); similar to base scenario but keep all critical sea lamprey barriers intact	Fishwerks, Sea Lamprey Control Map

741 * Habitat size is the upstream river length (km) of a particular barrier. **Accessibility is calculated as the product
 742 of the passability rating of a particular barrier and all downstream barriers. ***Cumulative represents a combined
 743 index that includes the disturbances in local and all upstream catchments (see Esselman et al., 2011).

744

745 Table 3. A description of effectiveness and cost used to compare the outcomes of fourteen
 746 barrier prioritization scenarios.

	Name (code)	Description: calculation
Effectiveness	Habitat (river length) gain (Len)	The increase of accessibility-weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i)$, where I = all river segments/potential habitats in study area, after the removal of selected barriers
	Cold-water habitat gain (Cdh)	The increase of accessibility- and cold-water fish distribution weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{predicted occurrence of cold-water fish}_i)$, the removal of selected barriers
	Cool-water habitat gain (Clh)	The increase of accessibility- and cool-water fish distribution weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{predicted occurrence of cool-water fish}_i)$, after the removal of selected barriers
	Warm-water habitat gain (Wh)	The increase of accessibility- and warm-water fish distribution weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{predicted occurrence of warm-water fish}_i)$, after the removal of selected barriers
	Quality habitat gain (Qh)	The increase of accessibility- and water quality index-weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{water quality index}_i)$, after the removal of selected barriers
	Quality local land-use habitat gain (Llh)	The increase of accessibility- and local land-use index-weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{local land-use index}_i)$, after the removal of selected barriers
	Quality cumulative land-use habitat gain (Lch)	The increase of accessibility- and cumulative land-use index-weighted habitat size, $\Delta \sum_{i=1}^I (\textit{habitat size}_i) \times (\textit{accessibility}_i) \times (\textit{cumulative land-use index}_i)$, after the removal of selected barriers
	Cost	Cost for removing barrier
Cost for lampricide		Estimated cost for applying lampricide after barrier removal, details in the metadata document of Fishwerks

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748

749 **Figure captions**

750 Fig. 1 The location and name of local watershed management plans that were reviewed in this
751 study (a). The black box in (b) indicates the location and range of the case study area (a) in the
752 Laurentian Great Lakes region.

753

754 Fig. 2 Flowchart for choosing DSTs based on objectives and data availability. HIT: High
755 Impact Targeting, FHDST: Fish Habitat Decision Support Tool. *Although the prioritization
756 can be done manually (e.g., scoring and ranking method) with local inventory data input, users
757 can also use model-driven DSTs such as OptiPass or Fishwerks to help optimize removal
758 projects.

759

760 Fig. 3 The location and selection frequency of barriers selected by optimization models given
761 a \$3 million USD budget in (a) the base scenario and 12 scenarios that incorporated local
762 information, and (b) the sea lamprey blocking scenario.

763

764 Fig. 4 Differences between the costs (bars) and the locations (dots: percent overlap, where
765 100% represents the same set of barriers was selected by two scenarios and 0% represents
766 none of the selected barriers are the same) of barriers selected by the base scenario and 13
767 scenarios of local data inclusion (x-axis) under three different budgets in Fishwerks. Cd:
768 cold-water species, Cl: cool-water species, W: warm-water species, Q: water quality, Lul:
769 land-use (local), Luc: land-use (cumulative), Lam: sea lamprey blocking, 1: projected species
770 distribution in 1961–2000, 2: distribution in 2046–2065, 3: distribution in 2081–2100.

771

772 Fig. 5 The cost for lampricide (bars) and the effectiveness (percentage gain of
773 quality-accessibility-weighted habitat; symbols) among scenarios under 1961–2000 climate

774 conditions, for budgets of \$2.0, 2.5, and 3.0 million USD. Scenario: N: base scenario, Cd:
775 cold-water species, Cl: cool-water species, W: warm-water species, Q: water quality, Lul:
776 land-use (local), Luc: land-use (cumulative), Lam: sea lamprey blocking. Habitat: Cdh:
777 cold-water habitat, Clh: cool-water habitat, Len: river length, Lch: quality cumulative land-use
778 habitat, Llh: quality local land-use habitat, Qh: quality habitat, Wh: warm-water habitat.

779

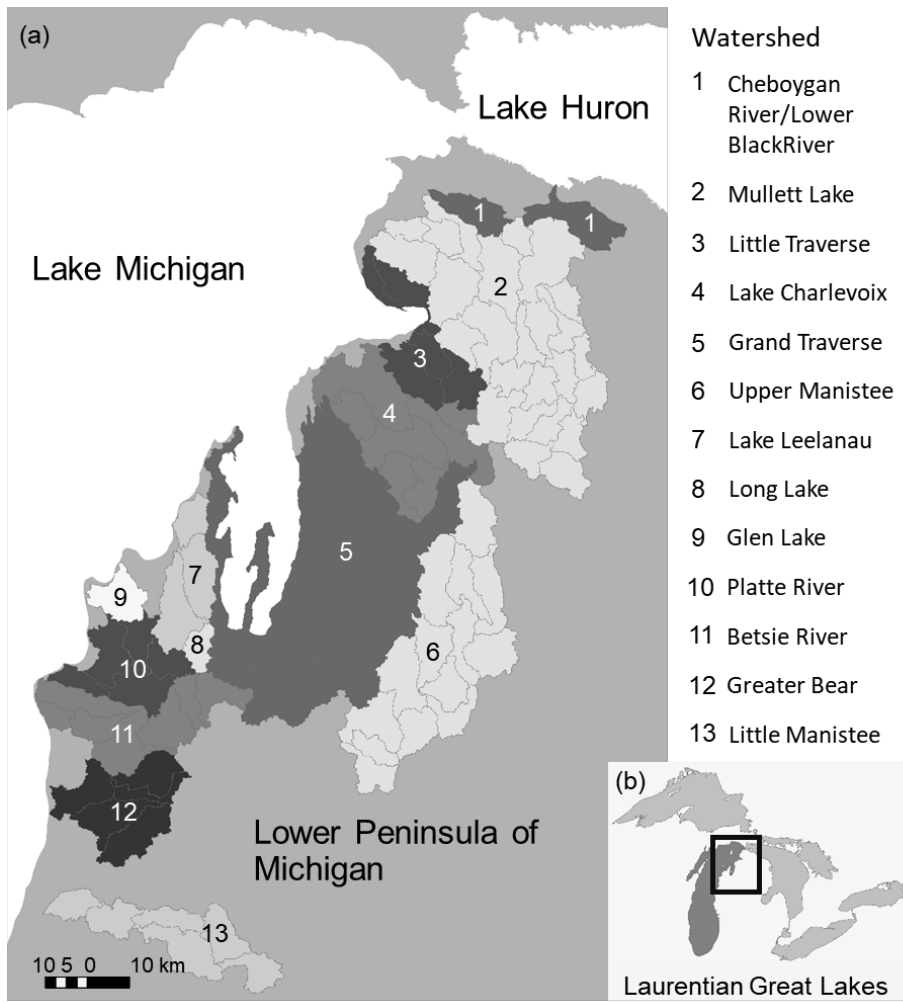
780 Fig. 6 The percent gain of quality- and accessibility-weighted habitat among scenarios in
781 late-20th (1961–2000), mid-21st (2046–2065), and late-21st century (2081–2100) at budgets
782 of \$2.0, 2.5, and 3.0 million USD for cold-water habitat (circle), cool-water habitat (triangle),
783 warm-water habitat (square), and river length (cross). Results are presented for scenarios
784 including climate change and species distribution information (solid line), without climate
785 change but with species distribution information (dashed line), and without climate change
786 and species distribution information (dotted line).

787

788 Fig. 7 Recommendations (light grey boxes) of using existing DSTs in the steps of barrier
789 removal prioritization protocol (dark grey boxes) that were proposed by McKay et al., (2016).

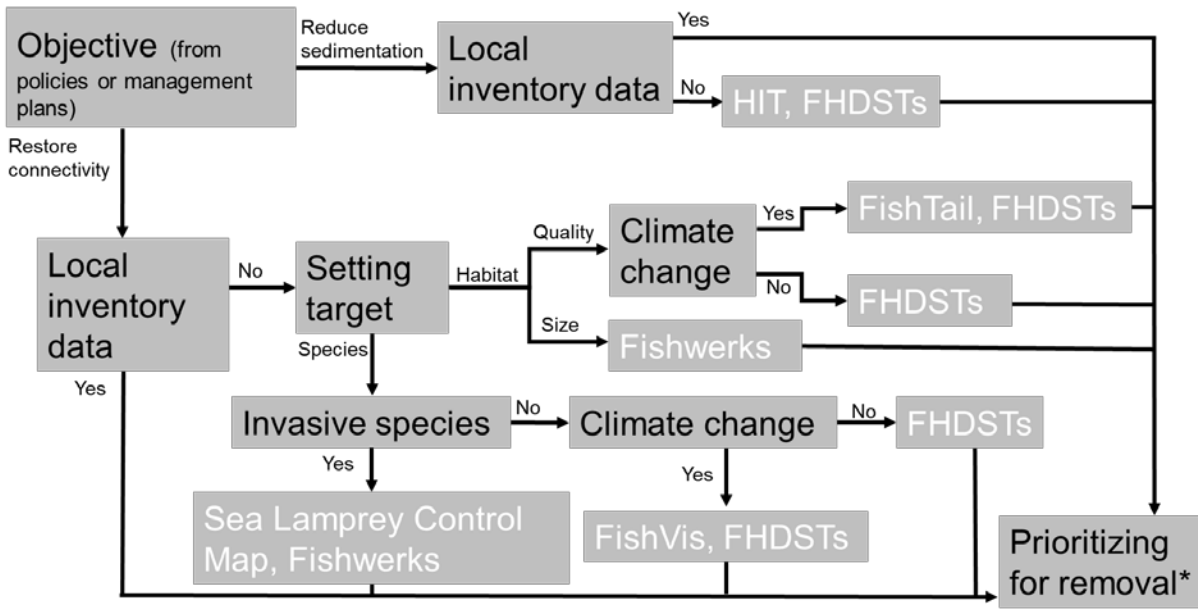
790

791 Fig. 1



792

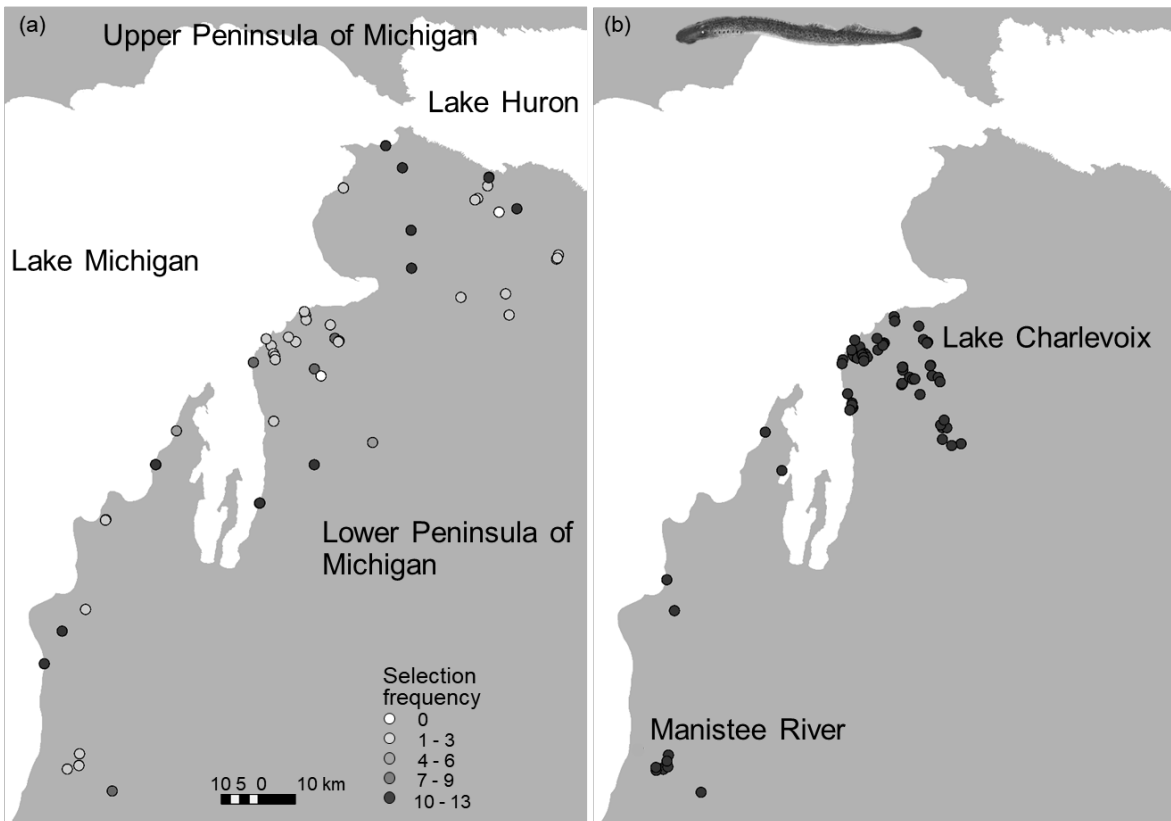
793 Fig. 2



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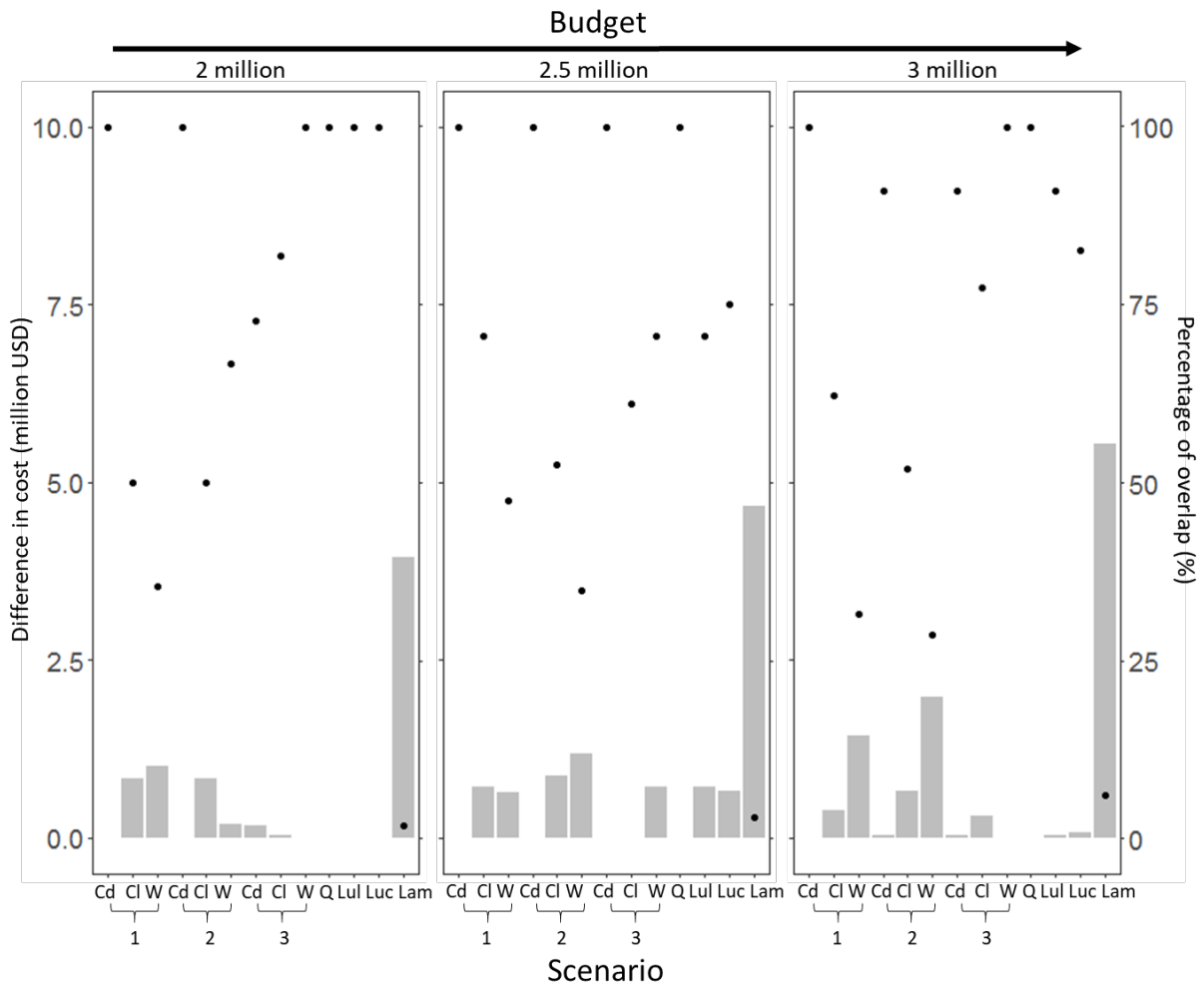
796 Fig. 3



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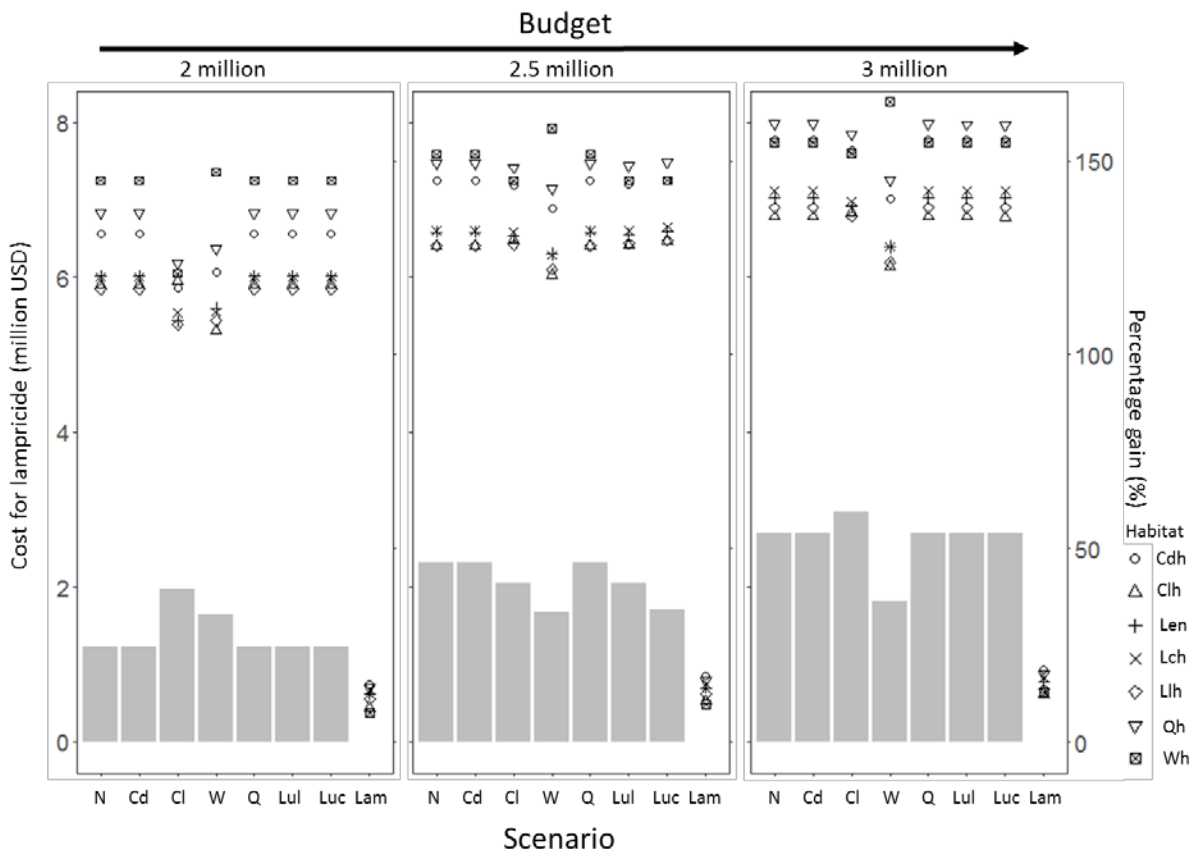
799 Fig. 4



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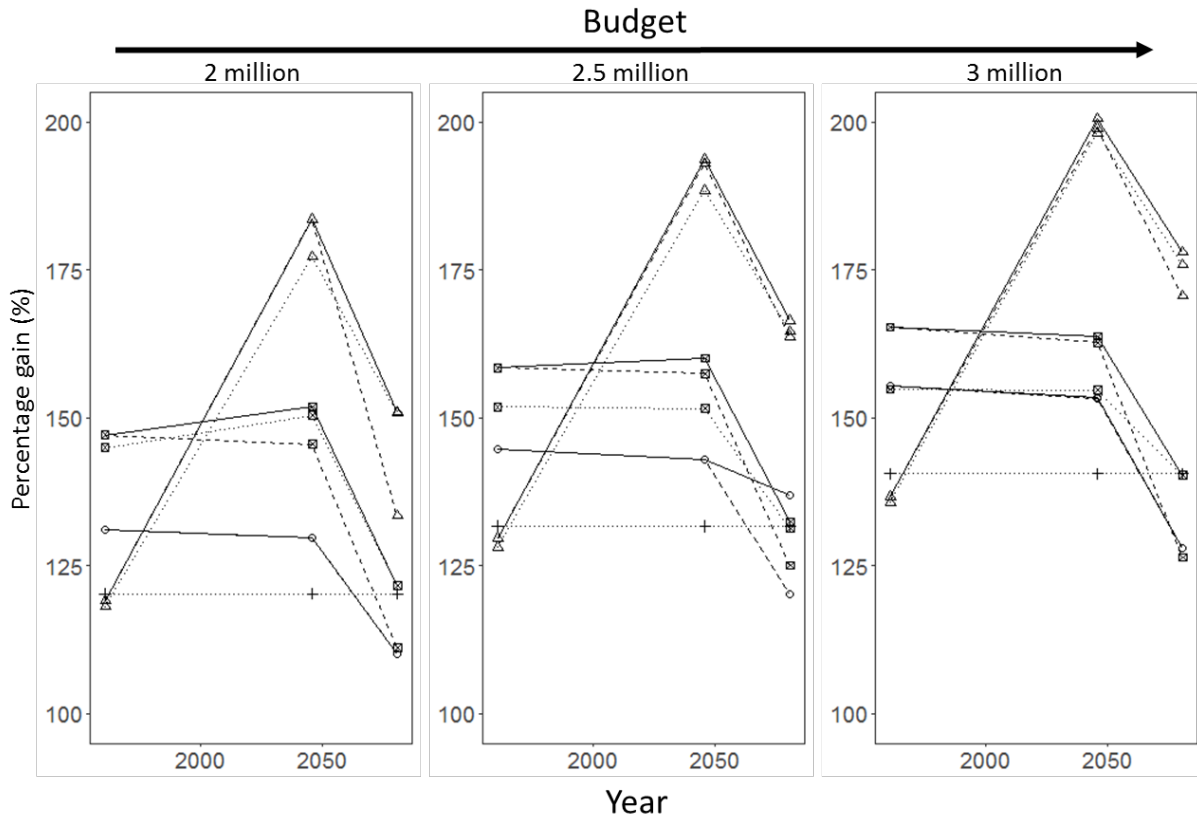
802 Fig. 5



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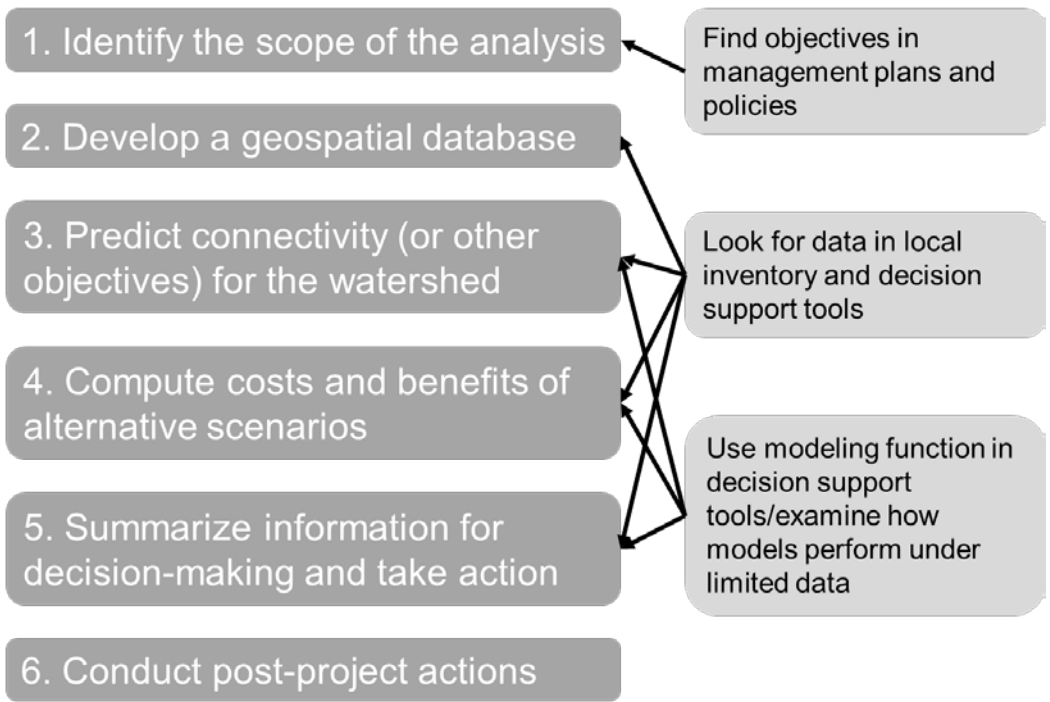
805 Fig. 6



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807

808 Fig. 7



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